

# Gelfand-Kirillov dimension of factor algebras of Golod-Shafarevich algebras

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# *Inspiration*

- 10 problems and 3 conjectures

stated by Efim Zelmanov in the paper

**Some open problems in the theory of  
infinite dimensional algebras,**

J. Korean Math. Soc. 44 (2007), No. 5,  
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## **Some open problems in the theory of infinite dimensional algebras,**

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- We give more information on **Problem 5** and **Conjecture 3**...

# *Outline*

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- Conjecture 3 (Zelmanov, 2007)
- Some recent results
- More open questions

# Problem 5



## PROBLEM 5 (Zelmanov, 2007)

Is it true that an arbitrary Golod-Shafarevich algebra has an infinite dimensional homomorphic image of finite Gelfand-Kirillov dimension?

# Zelmanov's Problem 5

## Theorem (A.S. 2008)

(Glasgow Mathematical Journal, to appear)

Let  $K$  be a field of infinite transcendence degree.

Then there is a **Golod-Shafarevich** algebra  $R$  such that every infinite-dimensional homomorphic image of  $R$  has **exponential growth**.

# *Something old, something new...*

It is known that

**Golod-Shafarevich algebras have exponential growth.**

**Theorem** (A.S., 2008, Glasgow Mathematical Journal, to appear)

**Non-nilpotent factor rings of generic Golod-Shafarevich algebras over fields of infinite transcendence degree have exponential growth, provided that the number of defining relations of degree less than  $n$  grows exponentially with  $n$ .**

# ***Golod-Shafarevich theorem***

Let  $R_d$  be a noncommutative polynomial ring in  $d$  variables over a field  $K$ , and let  $I$  be the ideal generated by an infinite sequence of homogeneous elements of degree larger than  $1$ , where the number of elements of degree  $i$  is equal to  $r_i$ .

If the coefficients of the power series

$$(1 - dt + \sum_{i=2}^{\infty} r_i t^i)^{-1}$$

are all **nonnegative**, then

the factor algebra  $R_d/I$  is **infinite-dimensional**.

Let

- $H(t) = \sum_{i=2}^{\infty} r_i t^i$ .
- $A = \mathbf{R}/\mathbf{I}$ .
- $A$  is **graded** (each generator has degree **1**), so

$$A(t) = \sum_{i=1}^{\infty} \dim_{\mathbf{K}} A_i t^i.$$

- **Golod** and **Shafarevich** proved that

$$A(t)(1 - dt + H(t)) \geq 1.$$

It follows that if there is  $t_0 > 0$  such that

$$H(t) = \sum_{i=2}^{\infty} r_i t^i$$

- converges at  $t_0$
- and  $1 - dt_0 + H(t_0) < 0$ ,

then  $\Lambda = \mathbf{R}/\mathbf{I}$  is infinite dimensional.

# ***Golod-Shafarevich algebra***

We say that  $R_d/I$  is a **Golod-Shafarevich** algebra if there is a number  $0 < t_0$  such that

$$H(t) = \sum_{i=2}^{\infty} r_i t^i$$

converges at  $t_0$  and  $1 - dt_0 + H(t_0) < 0$ .

## *Great facts*

Golod-Shafarevich algebras were used to solve

- the General Burnside problem,

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# ***Golod-Shafarevich groups***

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- **Every GS group is infinite.**
- **Zelmanov (2000) showed**

**that every GS -group contains  
a nonabelian free pro-p group  
as a subgroup.**

# Notation

- Let  $K$  be a field,  $F$ - the prime subfield of  $K$ .
- Let  $R$  be a  $K$ -algebra.
- Given subsets  $S, Q$  of  $R$ , denote

$$S + Q = \{s + q : s \in S, q \in Q\}$$

$$SQ = \left\{ \sum_{i=1}^n s_i q_i : s_i \in S, q_i \in Q, n \in \mathbb{N} \right\}$$

# Notation

Given a subset  $S$  of  $K$ .

- $F[S]$  is the field extension of  $F$ , generated by elements from  $S$ ,
- $FS$  is the linear space over  $F$  spanned by elements from  $S$ .
- $\text{card}(S)$  is the cardinality of  $S$ .

# Lemma

## Lemma

Let  $K$  be a field,  $F$  be a prime subfield of  $K$ , let  $R$  be a  $K$ -algebra and  $M$  be a subset of  $R$ .

Let  $N_1 = M$  and for each  $i > 1$ , let  $N_i$  be a subset of  $FM^i$  such that  $KM^i = KN_i$ .

Denote  $\alpha_i = \text{card}(N_i)$ .

Then there are subsets  $S_i \subseteq K$  such that

$$S_1 = \{1\}, \text{card}(S_{i+1}) \leq \text{card}(S_i) + \alpha_{i+1}\alpha_i\alpha_1$$

and  $M^i \subseteq F[S_i]N_i$  for all  $i$ .



# Proof

**Proof.** We will proceed by induction on  $i$ .

For  $i = 1$  it is true because  $N_1 = M$ .

Suppose the result holds for some  $i$ .

We will show it is true for  $i + 1$ . Observe that  $M^{i+1}$  consists of finite sums of elements  $m_{i+1} = m_i m_1$  for some  $m_i \in M^i$ ,  $m_1 \in M$ . By the inductive assumption  $m_i \subseteq F[S_i]N_i$ . Therefore,  $m_{i+1} \subseteq F[S_i]N_i N_1$ . Recall that  $N_i N_1 \subseteq KM^{i+1} = KN_{i+1}$ .

# So...

Consequently, every element  $n_i n_1$  with  $n_i \in N_i$  and  $n_1 \in N_1$  can be written as a linear combination over  $K$  of elements from  $N_{i+1}$ .

$$n_i n_1 = \sum_{n_{i+1} \in N_{i+1}} k_{n_{i+1}, n_i, n_1} n_{i+1}$$

for some  $k_{n_{i+1}, n_i, n_1} \in K$ .

Denote

$$K_{i+1} = \{k_{n_{i+1}, n_i, n_1} : n_{i+1} \in N_{i+1}, n_i \in N_i, n_1 \in N_1\}.$$

## ***Observe that...***

Observe that

$$N_i N_1 \subseteq F[K_{i+1}]N_{i+1}.$$

Denote

$$S_{i+1} = S_i \cup K_{i+1}.$$

Then,

$$M^{i+1} \subseteq F[S_i]N_i N_1 \subseteq F[S_{i+1}]N_{i+1}.$$

Note that  $\text{card}(S_{i+1}) \leq \text{card}(S_i) + \text{card}(K_{i+1})$ , hence

$$\text{card}(S_{i+1}) \leq \text{card}(S_i) + \alpha_{i+1} \alpha_i \alpha_1.$$

Let  $K$  be a field and let  $F$  be the prime subfield of  $K$ .

We say that elements

$a_1, a_2, \dots, a_n$  are **algebraically independent** over  $F$

if the algebra generated over  $F$

by elements  $a_1, a_2, \dots, a_n$  is **free**.

# Main theorem (A.S.), 2008

Let  $K$  be a field,  $F$ - the prime subfield of  $K$ , let  $R$  be a  $K$ -algebra,  $M$ - a finite subset of  $R$ . Denote  $\alpha_1 = \text{card}(M)$  and for  $i > 1$ ,  $\alpha_i = \dim_K KM^i$ . Let  $m > 1$ ,  $n, t$  be natural numbers and let  $x_1, \dots, x_t \in FM^m$ . Assume that there are elements  $k_{i,j} \in K$  which are **algebraically independent** over  $F$  and such that for all  $i \leq n$  we have

$$\sum_{j=1}^t k_{i,j} x_j = 0.$$

If  $n > 1 + \sum_{i=2}^m \alpha_i \alpha_{i-1} \alpha_1$ , then

$$x_1 = x_2 = \dots = x_t = 0.$$

# Conjecture 3

# Zelmanov's Conjecture 3, 2007

Let  $A = A_1 + A_2 + \dots$  be a **graded algebra** generated by  $A_1$ , with  $\dim A_1 = m$  and presented by less than  $\frac{m^2}{4}$  **generic quadratic relations**.

Then **all but finitely many Veronese subalgebras** can be epimorphically mapped onto the polynomial ring  $K[t]$ .

# Zelmanov's Conjecture 3, 2007

Theorem (A.S. 2008, Glasgow Mathematical Journal, to appear )

Let  $K$  be a field of infinite transcendence degree and let  $m > 8$ .

Then there exists a graded algebra  $A = A_1 + A_2 + \dots$  generated by  $A_1$ , with  $\dim_K A_1 = m$  and presented by less than  $\frac{m^2}{4}$  quadratic relations such that, for every  $i$ , the subalgebra of  $A$  generated by  $A_i$  cannot be epimorphically mapped onto the polynomial ring  $K[t]$ .



## *Zelmanov's Conjecture 3, 2007*

It is **not known** if in arbitrary **quadratic Golod-Shafarevich** algebras almost all **Veronese subalgebras** can be mapped onto algebras with linear growth, or onto a polynomial-identity algebras!

# Some recent results

# Jacobson radical

Theorem (A.S., Bull. London Math. Soc., 2008 )

Let  $R$  be a ring,  $S$  be a subset of  $R$ , and let

$$P = S + S^2 + \dots$$

be a subring of  $R$  generated by  $S$ .

Suppose that all  $n \times n$  matrices with coefficients from  $S$  are nilpotent for  $n = 1, 2, \dots$

Then

- for all natural numbers  $n, m$ , all  $n \times n$  matrices with entries from  $S^m$  are nilpotent,
- ring  $P$  is Jacobson radical.

# *Jacobson radical*

Theorem (A.S., Bull. London Math. Soc., 2008 )

Over every field **K**, there is a **graded algebra**

$$R = \bigoplus_{i=1}^{\infty} R_i,$$

- generated by **2** elements of degree **1**,
- which has **all homogeneous elements nilpotent**
- and is not **Jacobson radical**.

# *Around Regev's theorem*

Theorem (Regev)

**Associated graded algebras to algebraic algebras over uncountable fields are algebraic.**

Theorem (A.S., 2008)

**Associated graded algebras to nil algebras need not be algebraic.**

# *Riley's question*

Open question (Riley)

Are associated graded algebras to **nil algebras** **Jacobson radical**?

Theorem (A.S., 2008)

Associated graded algebras to **nil algebras need not be nil.**

# More open questions

# Zelmanov's question

- I. Let  $K$  be a field of finite transcendence degree.

Is it true that every Golod-Shafarevich algebra  $K$ -algebra has an infinite dimensional homomorphic image of finite Gelfand-Kirillov dimension?



# Zelmanov's question

- I. Let  $K$  be a field of finite transcendence degree.

Is it true that every Golod-Shafarevich algebra  $K$ -algebra has an infinite dimensional homomorphic image of finite Gelfand-Kirillov dimension?

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# Zelmanov's question

- II. Is it true that every finitely presented Golod-Shafarevich algebra has an infinite dimensional homomorphic image of finite Gelfand-Kirillov dimension?

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# Zelmanov's question

- **III. Is it true** that in arbitrary **Golod-Shafarevich algebras** with all defining relations of degree **2** almost all **Veronese subalgebras** can be mapped
  - \* **onto algebras with linear growth,**or
  - \* \* **onto a polynomial-identity algebras?**

# Zelmanov's question

- **III. Is it true** that in arbitrary **Golod-Shafarevich algebras** with all defining relations of degree **2** almost all **Veronese subalgebras** can be mapped

- \* **onto algebras with linear growth,**

or

- \* \* **onto a polynomial-identity algebras?**

- 

???

**Golod-Shafarevich** proved that if the series

$$\left(1 - \mathbf{d} t + \sum_{i=2}^{\infty} \mathbf{r}_i t^i\right)^{-1}$$

has all coefficients **nonnegative**, then

all free algebras in **d** generators subject to some relations  $f_1, f_2, \dots$  with  $\mathbf{r}_i$  relations of degree  $i$ , are **infinite dimensional**.

# Anick's question

- I. Suppose that the series

$$\left(1 - d t + \sum_{i=2}^{\infty} r_i t^i\right)^{-1}$$

has a **negative coefficient**.



# Anick's question

- I. Suppose that the series

$$\left(1 - d t + \sum_{i=2}^{\infty} r_i t^i\right)^{-1}$$

has a **negative coefficient**.

- **Is there** a **finitely generated algebra** in **d** generators subject to **r<sub>i</sub>** relations of degree **i** for **i = 1, 2, ...**?

A quadratic Golod-Shafarevich algebra

is a free algebra in  $d$  generators subject to  $r$  relations of degree 2 with  $4r < d^2$ .

Then the series

$$(1 - d t + r t^2)^{-1}$$

has all coefficients nonnegative.

**Such algebras are infinite dimensional.**

# *Anick's question*

- II. Let  $d$  be a number.

# Anick's question

- II. Let  $d$  be a number.
- Is there a free algebra in  $d$  generators subject to

$$\frac{(1 + d^2)}{4}$$

or less relations of degree 2 which is finitely dimensional?

# Zelmanov's question

- **IV. Is it true** that every algebra in **d** generators subject to less than

$$\frac{d^2}{4}$$

relations of degree **2** can be mapped onto a matrix ring over a commutative ring?

# Zelmanov's question

- IV. Is it true that every algebra in  $d$  generators subject to less than

$$\frac{d^2}{4}$$

relations of degree 2 can be mapped onto a matrix ring over a commutative ring?

- ? ? ? ?



**THANK YOU!**

